



# THE PROVIDENCE ASSOCIATION

A Fraternal Life Insurance and Benefit Society

817 North Franklin Street

Philadelphia . PA . 19123

215-627-2445

[www.provassn.com](http://www.provassn.com)

## ACCOUNT TRANSFER AUTHORIZATION

(Providence is Non-ACAT eligible)

### To complete this application, you will need:

- Current Custodian's name, address, phone & fax number, and email address
- Account Number with current Custodian
- Copy of your most recent statement from your current Custodian
- Providence Account Number
- **Check with your current Custodian to determine if a Signature Guarantee is required for this transfer**

## A IRA Compatibility

Not all account types are compatible, there may be additional steps and forms required in some cases.

	<u>Current Retirement Plan Type</u>	Transfer to	<u>IRA Type at Providence</u>
Choose only one account type  →	<input type="checkbox"/> Traditional IRA	→	<input type="checkbox"/> Traditional IRA
	<input type="checkbox"/> Inherited Traditional IRA	→	<input type="checkbox"/> Inherited Traditional IRA
	<input type="checkbox"/> Roth IRA	→	<input type="checkbox"/> Roth IRA
	<input type="checkbox"/> Inherited Roth IRA	→	<input type="checkbox"/> Inherited Roth IRA
	<input type="checkbox"/> SEP IRA	→	<input type="checkbox"/> SEP IRA
	<input type="checkbox"/> 401(k) or 403(b)7	→	<input type="checkbox"/> Traditional IRA

## B Account Owner's Information

Enter Account Owner's Full Name and Complete Information As it appears on current account

First  Middle  Last

Social Security No. 9 digits  Phone No.

Email Address:

Providence Acct. No.

## C Current Custodian Information

Incomplete information may delay the transfer.



Current Custodian (Name of Transferring Firm)

Current Custodian Street Address

City  State  Zip

Current Custodian Phone No.

Current Custodian Fax No.

Email Address for Current Custodian

Account No. w/ Current Custodian

## D Transfer of Current Retirement Account

Please choose only One of the following: Either a **Full** or **Partial** transfer



**Full Account Transfer** (Transfer ALL Assets to Providence Association)

**Partial Transfer** (Transferring *Only Some* of the Assets to Providence Association)

All Available Cash

Transfer the Following Amount \$

## E Transfer Authorization

I certify that the assets listed in Section D are held in an IRA, 401(k), or 403(b)7 plan and I authorize my current custodian to transfer these assets to The Providence Association. I understand that The Providence Association reserves the right to refuse custody of certain assets prior to final acceptance as Successor Custodian. If original documents are required, this transfer request will be sent to the current custodian via standard US Mail.

Print Name:

Date:

Signature: \_\_\_\_\_

## F Signature Guarantee

A Medallion Signature Guarantee may be obtained from an authorized officer at a brokerage firm, bank, or other financial institution. Certification by a notary public is Not a substitute for a signature guarantee.



Please **check** with your current Custodian to determine if a Medallion Signature Guarantee is required.  
**[Medallion Signature Guarantee Stamp Here]**

## G The Providence Association Acceptance

The Providence Association will accept the above-captioned account as Successor Custodian.

This section to be completed by The Providence Association

Date:

\_\_\_\_\_  
Authorized Officer Signature



The Providence Association of Ukrainian Catholics in America Tax Id: 23-0090610

Custodian FBO.

Account No. \_\_\_\_\_

Providence Account Type

<input type="checkbox"/>	Traditional IRA	<input type="checkbox"/>	Inherited Traditional IRA
<input type="checkbox"/>	Roth IRA	<input type="checkbox"/>	Inherited Roth IRA
<input type="checkbox"/>	SEP IRA		

**Mail CHECK(s) to:**

The Providence Association  
Attn: Asset Transfers  
817 North Franklin  
Philadelphia PA 19123